

Giving Vanguard Fund Shares to a Nonprofit Organization

IMPORTANT INFORMATION ABOUT OPENING A NEW ACCOUNT. Vanguard is required by federal law to obtain from each person who opens an account certain personal information—including name, street address, and date of birth among other information—that will be used to verify identity. If you do not provide us with this information, we will not be able to open the account. If we are unable to verify your identity, Vanguard reserves the right to close your account or take other steps we deem reasonable.

- Print clearly, preferably in capital letters and black ink.
- As the donor, you are to complete Sections 1 through 4.
- After completing your sections, send this form, along with the Vanguard Corporate Resolution and Indemnification and the business reply envelope we have provided, **to the organization you wish to give shares to**. Once the organization fills out Sections 5 through 8 of this form and the corporate resolution form, it will send them—along with the documentation specified in Section 6—to Vanguard.

Most forms are available for download from our website at www.vanguard.com/?serviceforms. Or you can call us to order them—or for assistance in filling out this form—at **1-800-662-2739**.

Sections 1 through 4: To be completed by the donor. (Send this completed form, and the enclosed Vanguard Corporate Resolution and Indemnification and business reply envelope, to the organization you wish to give shares to.)

1. Account Owner Information

Name of Account Owner (first, middle initial, last)

Street Address or Box Number

City

State

Zip

Name of Joint Account Owner (first, middle initial, last)

2. Name of Recipient Organization

3. Vanguard Account Information

- Note that the minimum amount you can give is \$1,000 and that the shares cannot be given from any type of retirement account.
- If your Vanguard® fund shares are held at another financial institution, you will have to make arrangements to give your gift through that institution.
- If you are giving shares from a trust, corporation, or attorney-in-fact account, you may need to provide us with additional documentation; call us for information.

Fund Number

Fund Name

Account Number

Dollars

Shares

All

New Fund Account (If the organization **does not** have an existing account **in the same fund** as that being given, provide us with the following information even if you intend to exchange or redeem the shares.)

■ **Account Type** (Choose only one.)

Check the organization's entity type. **Important:** You must send us a copy of the documentation required for your entity type specified below, or there may be a delay in establishing the account or account options.

- Corporation Articles of Incorporation, **or** state-issued charter or Certificate of Good Standing.
- Endowment Pages in the trust document that show the name of the endowment and a listing of all trustees and their signatures.
- Foundation Articles of Incorporation.
- Partnership Partnership agreement.
- Professional Association or Corporation; Limited Liability Corporation Articles of Association, Certificate of Organization, or similar document.
- Sole Proprietorship Document filed to form the proprietorship.
- Unincorporated Enterprise Document evidencing the existence of the enterprise, such as the charter or resolution.
- Other _____ Document filed to form the organization.
(Please specify type.)

■ **Account Distribution Options** (If nothing is checked, all distributions will be reinvested in additional fund shares.)

	Reinvest in Shares	Send a Check
Dividends	<input type="checkbox"/>	<input type="checkbox"/>
Capital Gains	<input type="checkbox"/>	<input type="checkbox"/>

7. Exchange / Redemption Options (Choose **one** of the following **only if the organization does not want the shares to remain in the account indicated in Section 6.**)

Exchange the Vanguard fund shares given to the organization for shares of a different Vanguard fund:

Vanguard Fund Name

Redeem the shares given to the organization, and send a check to the address provided in Section 5.

SIGNATURE REQUIRED IN SECTION 8.

8. Recipient Organization's Acceptance—AN AUTHORIZED REPRESENTATIVE MUST SIGN BELOW

(The representative who signs below must be the person named in Section 5 and listed on the organization's corporate resolution as a person permitted to act on behalf of it.)

- The recipient organization has full authority and legal capacity to accept Vanguard fund shares.
- The recipient organization has received or will review a prospectus of the fund in which the organization is opening an account and agrees to be bound by its terms.
- **If the recipient organization is a U.S. entity, it certifies under penalty of perjury that:**
 1. The employer identification number given on this form is correct.
 2. The organization is not subject to backup withholding because (a) it is exempt from backup withholding, or (b) it has not been notified by the Internal Revenue Service that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified it that it is no longer subject to backup withholding.
Important: Cross out item "2" if the organization has been notified by the IRS that it is currently subject to backup withholding because it has failed to report all interest or dividends on its tax return.
 3. The organization is a U.S. person as defined in Internal Revenue Code Section 7701(a)(30).
- **If the recipient organization is a non-U.S. entity, it is required to complete the appropriate Form W-8 to certify its foreign status. It acknowledges that it is not under penalty of perjury certifying the above information.**

The Internal Revenue Service does not require consent to any provision of this document other than the certification required to avoid backup withholding.

➤

Signature

- -

Date (month, day, year)



Vanguard Organization Resolution

- Print clearly, preferably in capital letters and black ink.
- This form identifies the officers or other persons who are authorized to conduct transactions on Vanguard® accounts on behalf of an organization. Once Vanguard has this completed form on file, authorized individuals will no longer need to provide a certified resolution with each written transaction request.
- Organizations covered by this form include: corporations; partnerships; limited liability companies or partnerships; professional corporations or associations; endowments; business trusts; and other entities or organizations.
- This form cannot be used to identify trustees authorized to conduct transactions on personal or retirement trusts. Trustees of personal or retirement trusts must use Vanguard's Trustee Certification instead.
- If your organization is the registered **owner** of the Vanguard account(s), complete Sections 1, 2, 3, and 4.
- If your organization is acting as an **agent** on Vanguard account(s) owned by another shareholder, complete Sections 1 and 5.
- This form requires the signature of two authorized persons from your organization, one of whom must be the secretary or other authorized person who can certify the names of those authorized to request transactions or sign checks. If your organization has only one authorized signatory, then a bank officer, practicing attorney, or member of a domestic stock exchange must countersign this form.
- This resolution remains in effect until Vanguard has been notified that it has been revoked or a new Vanguard Organization Resolution has been submitted. You must file a new Organization Resolution when there is any change in the identity of the persons authorized to act on behalf of your organization.

Most forms can be downloaded from our website at www.vanguard.com/?serviceforms. To order this form by mail or for assistance in filling it out, call Vanguard at **800-662-2739**. Mail the completed form and any other required documents to **The Vanguard Group, P.O. Box 1110, Valley Forge, PA 19482-1110**.

1. Organization's Information

Name of Organization

Street Address

City

State

Zip

Employer/Taxpayer Identification Number

2. Authorized Signatories—Complete only if the organization is the registered owner of the account(s).

■ This section should be completed by the officers, general partners, managing members, or any other persons authorized to sign documents on behalf of the organization on the organization’s Vanguard account(s).

Number of signatories required to transact on the organization’s accounts. **(Note:** If a number is not provided, the signature of any one of the authorized signatories identified below will be sufficient to make written transaction requests.)

In the space below, identify the authorized signatory who will have authority to establish secure online account access and use Vanguard’s automated telephone response system for account inquiries and transactions. **Note:** Telephone requests through a Vanguard associate can be made by any one of the authorized signatories.

Name of Authorized Signatory *(first, middle initial, last)* and Title

All authorized signatories complete and sign below:

Name of Authorized Signatory *(first, middle initial, last)* and Title

➤

Date *(month, day, year)*

Name of Authorized Signatory *(first, middle initial, last)* and Title

➤

Date *(month, day, year)*

Name of Authorized Signatory *(first, middle initial, last)* and Title

➤

Date *(month, day, year)*

Checkwriting Option *(Not all funds offer checkwriting; refer to the Vanguard Fund and Account Option List provided to see which ones do. The service is free; minimum amount per check is \$250. **Important:** All signatories authorized to write checks must sign above exactly as the checks will be signed.)*

Fund Number

Fund Name

Number of signatures required on checks *(If no number is provided, the signature of any one of the authorized signatories will be sufficient.)*

- That each of the additional authorized persons listed in Section 3 is authorized to act on behalf of the organization in connection with any Vanguard mutual fund and/or Vanguard Brokerage Services account(s) owned by the organization. Each such additional authorized person is authorized (1) to invest the assets of the organization; (2) to obtain information and to give instructions for the purchase, sale, exchange, or transfer of securities in the Vanguard accounts owned by the organization; and (3) to engage in margin and option trading on Vanguard Brokerage Services accounts owned by the organization. However, the individuals identified in Section 3 are not authorized to execute any document in connection with the organization's Vanguard accounts.

The organization agrees to indemnify and hold The Vanguard Group, Inc., Vanguard Marketing Corporation, their affiliates, each of the investment company members of The Vanguard Group, and their respective officers, employees, and agents (collectively, Vanguard), harmless from and against all losses, claims, and expenses (including attorney's fees) of any kind incurred by Vanguard for relying in good faith upon information provided in this resolution and for acting on instructions believed by Vanguard to have originated from any authorized signatory listed in Section 2 and/or any additional authorized person listed in Section 3. This resolution remains in full force and effect until revoked by an authorized signatory of the organization. Each Organization Resolution filed with Vanguard revokes a corporate/organization resolution previously filed with Vanguard in its entirety. Any revocation will not affect any liability resulting from transactions initiated before Vanguard has had a reasonable amount of time to act upon the revocation.

We are authorized and directed to certify the above and confirm that these provisions conform to the charter or other organizing document of our organization.

Please sign here.

Name of Authorized Signatory (first, middle initial, last) and Title

➤

--

Date (month, day, year)

Name of Authorized Signatory (first, middle initial, last) and Title

➤

--

Date (month, day, year)

If the organization has only one authorized signatory, a bank officer, practicing attorney, or member of a domestic stock exchange must complete the following:

I certify that the person identified in Section 2 is the duly authorized signatory of the organization identified in Section 1.

Name (first, middle initial, last) and Title

➤

--

Date (month, day, year)

Print name of bank or firm

5. Agent for Shareholder—Complete only if the organization is acting as agent for another shareholder.

A. Shareholder Information—Do not include agent information here; provide as indicated in Section 1.

Name (first, middle initial, last)

Street Address

City

State

Zip

Social Security Number

Taxpayer Identification Number

B. Agent's Authorized Persons

- Any one of the persons listed in this Section 5B is authorized to act on behalf of the organization, pursuant to the organization's authority as an agent in accordance with a Vanguard Agent Authorization, Agent's Certification for Incapacitated Person form, Limited Agent Authorization, or Inquiry Access Only form filed with Vanguard previously or at the same time as this form, with respect to the shareholder identified in Section 5A.
- The organization acknowledges that the persons identified in this Section 5B are authorized to act only with respect to the specified Vanguard accounts owned by the shareholder identified in Section 5A on which the organization has been authorized as agent. The organization further acknowledges that it must file separate Organization Resolutions for each additional shareholder for whom the organization serves as an agent.
- The organization acknowledges that the organization is solely responsible for informing Vanguard of any changes in the authority or identity of the persons listed in this Section 5B, and that Vanguard is not responsible for any acts or omissions taken in regard to any instructions believed by Vanguard to have originated from any person identified in this Section 5B until Vanguard has received written notice of the revocation of such person's authority and Vanguard has had a reasonable period of time to act upon such notice.

Name(s) of Agent's Authorized Persons

Name of Authorized Person (first, middle initial, last) and Title

Name of Authorized Person (first, middle initial, last) and Title

C. Certification and Indemnification—Two authorized signatories must sign below if the organization is acting as agent for another shareholder.

We, _____ and _____ (names), the duly authorized _____ and _____ (titles), respectively, of the organization identified in Section 1, hereby certify the following:

- That each of the authorized persons listed in Section 5B is authorized to act on behalf of the organization to the extent of the authority granted the organization in a Vanguard Agent Authorization, a Limited Agent Authorization, an Inquiry Access Only form, or an Agent's Certification for Incapacitated Person form filed with Vanguard for the Vanguard shareholder identified in Section 5A.

The organization agrees to indemnify and hold The Vanguard Group, Inc., Vanguard Marketing Corporation, their affiliates, each of the investment company members of The Vanguard Group, and their respective officers, employees, and agents (collectively, Vanguard), harmless from and against all losses, claims, and expenses (including attorney's fees) of any kind incurred by Vanguard for relying in good faith upon information provided in this resolution and for acting on instructions believed by Vanguard to have originated from any authorized person identified in Section 5B. This resolution remains in full force and effect until revoked by an authorized signatory of the organization. Each Organization Resolution filed with Vanguard revokes a corporate/organization resolution previously filed with Vanguard in its entirety. Any revocation will not affect any liability resulting from transactions initiated before Vanguard has had a reasonable amount of time to act upon the revocation.

We are authorized and directed to certify the above and confirm that these provisions conform to the charter or other organizing document of our organization.

Please sign here.

Name of Authorized Signatory (first, middle initial, last) and Title

➤ SIGNATURE

____-____-____

Date (month, day, year)

Name of Authorized Signatory (first, middle initial, last) and Title

➤ SIGNATURE

____-____-____

Date (month, day, year)

If the organization has only one authorized signatory, a bank officer, practicing attorney, or member of a domestic stock exchange must complete the following:

I certify that the person identified in Section 5C is the duly authorized signatory of the organization identified in Section 1.

Please sign here.

Name (first, middle initial, last) and Title

➤ SIGNATURE

____-____-____

Date (month, day, year)

Print name of bank or firm